

## Mutual Fund Online Registration Form

I/ We hereby submit my/ our application for ICICI Bank's Mutual Fund Online Services.

The savings account to which the Mutual Fund Online Services are to be linked is

ICICI Bank Account Number:

(In case of existing customers)

### Account Holder Details

Name of all the Account Holders:

Primary Holder:

1st Joint Holder:

2nd Joint Holder:

	Primary Holder	1st Joint Holder	2nd Joint Holder
<b>PAN</b>	<input type="text"/>	<input type="text"/>	<input type="text"/>
PAN and KRA KYC compliance details are mandatory for all Holders that form part of Mutual Fund Holding Pattern			

The default holding pattern for Mutual Fund Investments through Mutual Fund Online will be the holding pattern of your ICICI Bank Account as mentioned above. In case you wish to have a different holding pattern for the mutual funds purchased through Mutual Fund Online than that of your ICICI Bank Account where A is the Primary Holder, B is the 1st Joint Holder and C is the 2nd Joint Holder, please select from any one of the below mentioned holding pattern choices that are available to you:

A       A, B & C       A & B       A & C

### Nomination Details (for Mutual Fund units held)

**\*Choose any one from the below mentioned options**

I/ We have been explained about the benefits of the nomination facility. However, I would like to inform you that I do not wish to provide nomination for my Mutual Fund units.

I/ We \_\_\_\_\_ do hereby nominate the person on the  day of  in respect of units held by me/ us

Name and Address

of Nominee

Date of Birth:

Relationship with Applicant:

(If Nominee is Minor)

Name of Guardian (Mandatory – If Nominee is minor)

Relationship with minor

Address of Guardian

Signature of Guardian

I/ We hereby understand and agree that the nomination details provided by me/ us herein above shall be shared with such Asset Management Companies ("AMCs") in whose schemes I/ We shall invest through Mutual Fund Online. The same shall be applicable for all mutual fund investments carried out by me/ us through Mutual Fund Online on acceptance by the respective AMCs. In the event I/ We would like to change the nomination details as provided herein above, I/ We shall be required to approach the concerned AMC directly in this regard.

(As per Mutual Fund Holding Pattern)

\_\_\_\_\_  
Primary Holder

\_\_\_\_\_  
1st Joint Holder

\_\_\_\_\_  
2nd Joint Holder

(Signature of Bank Account Holders as per Mutual Fund Holding Pattern required)

## Declaration

- I. I/ We hereby confirm that I/ We have read, understood, are agreeable to and undertake to abide by the "Terms & Conditions" as applicable to Mutual Fund Online set forth on the website www.icicibank.com. I/ We understand that access to any changes/update in "Terms & Conditions" applicable to these services would be available on the website only.
- II. I/we understand that all the applicant/s are required to be registered as KYC compliant with the respective KYC registration agencies (KRA's) and I/we hereby agree and understand that my/our ICICI Bank Account referred to above shall be registered for the Mutual Fund Online facility within 5 working days of submission of a valid and complete application form along with applicable documents, provided all the applicant/s are registered as KYC compliant with the applicable KRA's.
- III. I/ We hereby acknowledge and agree that by availing the facility of Mutual Fund Online, the same shall be linked to my/ our savings account held with ICICI Bank and as specified in this registration form. All communications that may be sent by ICICI Bank with respect to Mutual Fund Online shall be sent as per the communication details available in ICICI Bank's records with respect to the above mentioned savings account, unless otherwise specified as per ICICI Bank's policy/ process.
- IV. I/ We understand that the manner of providing instructions to ICICI Bank with respect to the Mutual Fund Online being availed by me/ us shall be the same as that of the savings account mentioned in this registration form.
- V. In the event the holding pattern for mutual fund units specifies more than one holder, then mode of operation for the mutual fund units will be "either or survivor". In the event the holding pattern for mutual fund units specifies only one holder, then mode of operation for the mutual fund units will be "single".
- VI. I/ We agree and understand that all the Holders of the savings account will have the right to transact through the Mutual Fund Online and the same shall be honoured.
- VII. I/ We declare that the particulars and information given in the registration form (and all documents referred or provided therewith) are true, correct, complete and up to date in all respects and I have not withheld any information. I/ We understand that certain particulars given by me/ us are required by the operational guidelines governing banking companies.
- VIII. I/ We hereby authorise ICICI Bank to share with relevant entities and bodies all/ any information being provided in this registration form and information pertaining to the savings account mentioned herein, as may be required for the purpose of online investment in mutual funds and as ICICI Bank may deem fit in this regard.
- IX. I/ We understand that ICICI Bank reserves the right to reject any registration form in its sole and absolute discretion and without providing any reason whatsoever.
- X. I/ We hereby undertake to keep ICICI Bank informed of at all times, of any change/ alteration in my/ our particulars furnished under this registration form to ICICI Bank and authorise ICICI Bank to update any change/ alteration in my/ our aforesaid particulars that ICICI Bank may be informed of by me/ us and/ or is brought to the notice of ICICI Bank and hereby authorize ICICI Bank to contact me/ us on such changed/ altered particulars. I/ We shall be solely responsible to ensure that ICICI Bank has been informed of the correct particulars for communication. I/ We agree to indemnify ICICI Bank against any fraud or any loss or damage suffered by ICICI Bank due to my providing of any incorrect particulars and/ or failure on my part to communicate the change/ alteration in my/ our aforesaid particulars.
- XI. I/ We further agree that any false/ misleading information given by me/ us or suppression of any material fact will render my/ our savings account and/ or the Mutual Fund Online being provided to me/ us liable for termination.
- XII. I / We declare that all details in my /our relationship record are true and correct and any instruction given to ICICI Bank to transact business on my/our behalf shall be in due conformity with the applicable laws as may for the time being be in force.
- XIII. Any tax implications arising out of any transactions entered in to pursuant to these Terms & Conditions would be as per the provisions of the Income Tax Act, 1961, or any modification or reenactment thereof. I/ We agree and declare that any and all tax liability will be my/ our sole responsibility. I/ We shall execute and deliver to the Bank from time to time such other documents as may be specified by the Bank for compliance or updating of records if any. I/ We have read and understood the terms & conditions and including those excluding/ limiting your liability.
- XIV. I/ We have read and understood the registration and other charges as specified in the Tariff guide available on the website of ICICI Bank. I/ We agree and understand that these charges are subject to change from time to time and shall be updated on ICICI Bank's website only.
- XV. I/ We hereby understand that by registering for Mutual Fund Online, I/ we are not being provided any advisory services and all the investment decisions shall solely be mine/ our.
- XVI. I/ We hereby understand that mutual fund investments are subject to market risks and that I/ we shall read the relevant offer documents carefully before making any investments.
- XVII. I/ We understand that any matter/ dispute with respect to nomination and deceased claims with respect to mutual fund units shall be settled by me/ us directly with concerned AMCs/ Mutual Fund without any reference to ICICI Bank.
- XVIII. I/ We understand that my/our bank account mentioned above shall be the account registered with the AMC's for crediting any proceeds in relation to the mutual fund units purchased through the Mutual Fund Online facility. In case of closure of the account, I/ we understand that I/ we shall be responsible for updating another account with the respective AMC's for any credits in relation to the mutual fund units.
- XIX. With effect from October 1, 2013, ICICI Bank has Opted Out of transaction charges for all Mutual Fund schemes. Hence, no transaction charges will be levied on purchases of any Mutual Fund products. Consequently, the respective AMCs will issue Mutual Fund units equivalent to the full subscription amount to the investors. The above changes will be effective for both online and offline transactions initiated on or after October 1, 2013.
- XX. I/We understand that ICICI Bank is merely acting in the capacity of a distributor with respect to the mutual funds and I/ we shall not involve ICICI Bank in or in any way hold ICICI Bank responsible for, any dispute between myself/ ourselves and the Mutual Fund/ AMC or any other third party company/ vendor whose products/ services have been purchased/ availed by use of the Mutual Fund Online facility.

### Letter of Authority

THIS LETTER OF AUTHORITY made at ..... this ..... Day of ..... 20..... BY  
 1. .... aged ..... and residing at .....  
 2. .... aged ..... and residing at .....  
 3. .... aged ..... and residing at .....

hold singly/jointly an account bearing no. .... with..... Branch of ICICI Bank. (hereinafter referred to as the "Deponent/s")

#### IN FAVOUR OF

ICICI Bank Limited, a company incorporated under the Companies Act, 1956, and licensed to act as a banking company under the Banking Regulation Act, 1949, having its registered office at "Landmark", Race Course Circle, Alkapuri, Vadodara, India - 390 007 and Corporate office at ICICI Bank Tower, Bandra Kurla Complex, Bandra, Mumbai - 400051 (hereinafter referred to as "ICICI Bank", which expression shall, unless repugnant to the meeting or context thereof be deemed to mean and include its successors and assigns).

WHEREAS the Deponent/s is/ are desirous of availing of the Mutual Fund Online offered by ICICI Bank which shall inter alia enable him/ her/ them to place investment request or deal in any other manner in various investment products/ services like mutual funds, gold, life insurance and general insurance, including but not limited to any other security or financial instrument (hereinafter referred to as 'Purpose'), that are distributed by ICICI Bank in its capacity as an Intermediary/ Referrer/ Distributor/ Corporate Agent of such Mutual Funds/ AMCs/ Insurance Companies/ Any other Third Party Companies/ entity. In consideration of ICICI Bank providing Online Mutual Fund Services and in accordance with the "Terms & Conditions" governing ICICI Bank's Mutual Fund Online, I/ we hereby appoint ICICI Bank as my/our Mandate Holder/ Authority Holder and confer upon it the powers hereinafter stated.

THEREFORE, THIS LETTER OF AUTHORITY WITNESSETH that I/ we hereby nominate, appoint and constitute ICICI Bank as my/ our true and lawful Mandate Holder/ Authority Holder to do, execute and perform or cause to be done, executed and performed, the following acts, deeds and things or any of them for and on my/ our behalf namely:

- i. To submit applications/ other relevant documents for the various investment products/ services, as instructed by me/ us, including but not limited to, mutual fund, gold, life insurance, units of any collective investment scheme or any other security or financial instrument issued by any Mutual Funds/ AMCs/ Life Insurance Companies or such other relevant entity.
- ii. To do all such things that are incidental to the Purpose.
- iii. To have first and paramount lien on Bank Account or any Bank Account(s) as may be instructed by me/ us and to exercise such lien in the event of any loss suffered/ costs incurred on account of any transaction done/ executed by ICICI Bank on my/ our behalf for the Purpose.
- iv. To share the details of my/ our Bank Account(s) to a third party service provider or to any of the affiliates/ subsidiaries of ICICI Bank by virtue of I/ we using/ subscribing to any of the facilities/ services provided either by ICICI Bank, or through a third Party service provider or to any of the affiliates/ subsidiaries of ICICI Bank.
- v. To do all such acts, deeds and things as may be necessary for or incidental to provision of services and facilities to me/ us including giving intimations, confirmation, undertaking etc. on my/ our behalf.
- vi. To appoint or remove any agents or substitute or substitutes with all or any of the powers herein contained in order to enable such agent of substitute(s) to exercise all or any of the powers given by me/ us to ICICI Bank.
- vii. To retain all originals of documents executed by me/ us.
- viii. AND GENERALLY to do or cause to be done every other acts, deeds, matter or things as ICICI Bank may deem necessary or expedient for the purpose of or in relation to these presents.

I/ We hereby ratify and confirm and agree to ratify and confirm all that ICICI Bank or any of its officers shall do or cause to be done in or concerning the premises by virtue of these presents.

I/ We hereby agree and confirm that this Letter of Authority shall be cancelled or revoked only upon furnishing an advance written notice of 30 (thirty) days to ICICI Bank. These documents shall be subject to the jurisdiction of the Courts in Mumbai.

IN WITNESS WHEREOF I/ We have here unto set and subscribed our respective hands at ..... on this ..... day of ..... 20.....

Signature

Signature

Signature

(Primary Bank Account Holder)

(1st Joint Bank Account Holder)

(2nd Joint Bank Account Holder)

Name

Name

Name

(Signature of all Bank Account Holders, irrespective of Account holders registering for Mutual Fund Online, required on Declaration and Letter of Authority)

Branch Checklist:

- Savings Bank (SB) accounts can either be Single or Joint Accounts (in Either or Survivor Mode)
- Mutual Fund Online is available only for Major Resident Individuals through the Savings Bank Account. It is not available for non-individuals, minor and NRI accounts.
- Account is not already registered for the facility. Registration status of the account can be checked through
- I-Sense (Accounts> Other Inquiry> Mutual Fund Online)
- Details like Savings Bank (SB) Account Number, Customer Name, PAN, Nominee Details etc are filled correctly
- SB Account is active. Account should not be in Dormant status
- No freeze is marked for the SB Account
- Customer's current contact details are correctly updated in iCore
- Account is registered for Mobile/ e-mail alert facility. If Account is not registered for alerts then branch should get the Mobile/ e-mail alert registration done.
- PAN of the Primary holder is updated for the SB account in iCore
- PAN is mentioned in the Web application and Form as per the holding pattern of the Mutual Fund

Client Information

Customer ID:

Infinity Id:

Base Branch Sol id:

Base Branch Name:

Sourcing details:

Employee ID:

Documentation completed and form submitted on:

Date:  Signature of Authorised Bank Official \_\_\_\_\_

Verifier Checklist:

- Copy of KYC Registration Agency (KRA) Know Your Customer (KYC) of all Account Holders registering for Mutual Fund Online is attached
- Self-attested PAN card copy of all Account Holders registering for Mutual Fund Online is attached
- Branch Official to attest the PAN Card copies with "Originals seen and verified" stamp
- The Declaration and Letter of Authority in the registration form is signed by all the SB account holders irrespective of the holding pattern selected for Mutual Fund units. E.g. If account is in the name of A, B & C and Mutual Fund Online is being opened in the name of A and C, then only A and C will sign at the end of Page 1 and all A, B and C will sign on Page 2 under "Letter of Authority"



Acknowledgement Slip (To be filled in by Bank staff)

Received from \_\_\_\_\_ Account No.  Date

ICICI Bank Branch Name: \_\_\_\_\_

\_\_\_\_\_  
Signature of Bank Official

Affix  
Bank  
Seal