



**Principal Pnb Asset Management Company Private Limited**  
 (in association with Vijaya Bank)

Dated 13<sup>th</sup> January, 2010

To,  
 Mr. P K Agarwal  
 General Manager  
 The Bank Of Rajasthan Ltd  
 Regional Office,  
 11/12, Senapati Bapat Marg,  
 Lower Parel (West),  
 Mumbai - 400 013

Dear Sir,

ARN-18059

*We on behalf of Principal Mutual Funds wish you a very happy, prosperous & exciting year ahead.*

At Principal, It has been our constant endeavor to offer the best of the products and services to you and your clients which will enable them to meet their investment goals. We remain committed to our mission and seek your support, views and suggestions to improve the same further.

We are pleased to enclose the brokerage structure for all our schemes for the Jan-10 to Mar-10 quarter. The load structure for all the schemes remains the same except for the following:

Scheme name	Existing Load Structure	Revised Load structure	Effective date
Principal Ultra Short Term Fund	Nil	0.25% - If redeemed on or before 30 days from the date of allotment	11 <sup>th</sup> January 2010

Please write in to [partnersupport@principalindia.com](mailto:partnersupport@principalindia.com) or contact your Relationship Manager for any queries / suggestions that you may have.

We look forward to your continued support and remain committed to the joint cause of providing the best investment service to the customers

Best Regards

Sudipto Roy  
 (Business Head)



Fund Names	Distribution Fee	Trail - Year 1	Trail - Year 2 & Year 3	Trail - Year 4 onwards
Principal Large Cap Fund	0.40	0.50	0.50	0.50
Principal Resurgent India Fund				
Principal Growth Fund				
Principal Services Industries Fund				
Principal Dividend Yield Fund				
Principal Balanced Fund				
Principal Child Benefit Fund				
Principal Emerging Blue Chip Fund	0.00	0.50	0.50	0.50
Principal Index Fund (Index)				
Principal Global Opportunities Fund (GOF)	0.50	0.00	0.50	0.50
Principal Tax Savings Fund	2.25	0.00	0.00	0.60
Principal Personal Tax Saver	2.25	0.00	0.00	0.60
Principal MIP and MIP Plus	0.65	0.25	0.50	0.50

**Note:**

1. Principal Asset Management Co. Pvt. Ltd reserves the right to modify the brokerage and the load structure prospectively at any time.
2. The brokerage is inclusive of all levies and taxes(service tax and the educational cess)
3. Trail brokerage from 2nd year onwards is subject to change based on any change in regulations or cost structure

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Fund Name	Investment Plan	Distribution Fee	Trail - Year 1	Trail - Year 2 onwards
Principal Income Fund	Regular	0.00	0.65	0.40
	Institutional	0.00	0.40	0.35
Principal Income Fund - Short Term Plan	Regular	0.00	0.25	0.20
	Institutional	0.00	0.15	0.15
Principal Floating Rate Fund - Flexible Maturity Plan	Regular	0.00	0.10	0.10
	Institutional	0.00	0.05	0.05
Principal Floating Rate Fund - Short Maturity Plan	Regular	0.00	0.05	0.05
	Institutional	0.00	0.05	0.05
Principal Cash Management - Liquid Option	Regular	0.00	0.10	0.10
	Institutional	0.00	0.05	0.05
	Institutional Premium	0.00	0.05	0.05
Principal Gilt Fund	Investment	0.25	0.10	0.25
Principal Ultra Short Term Fund	Regular	0.00	0.20	0.10
Principal Money Manager Fund	Regular	0.00	0.10	0.10
	Institutional	0.00	0.05	0.05

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