

Enclosures: Documents required for Transmission				
	Joint Holder	Nominee Case	Legal Heir Case	
			Holding Value < 100000	Holding value > 100000
1. Transmission Form Transmission Form duly completed by Joint Holder(s)/ Nominee/ Legal Heirs	✓	✓	✓	✓
2. Affidavit Affidavit should be notarized by Notary/Magistrate affixing notorial stamp & on stamp paper of Rs.100 as per NSDL format. >Nominee/legal heir(s) should be signed on Deponent & Verification part of Affidavit >Execution Date should be mentioned.	NA	✓	NA	✓
3. Death Certificate Death Certificate dully notarized with notorial stamp	✓	✓	✓	✓
4. NOC No objection letter should be signed by each legal heir separately as per NSDL format & attested by banker's	NA	NA	✓	NA
5. Indemnity Certificate The Indemnity should be notarized by a Notary/Magistrate affixing Notorial stamp . The letter of Indemnity should be executed on the non-judicial stamp paper of Rs.200 supported by surety as per NSDL format. Execution Date should be mentioned.	NA	NA	✓	NA
5. Succession Certificate / Probate / Letter of Administration A copy of the Succession certificate duly notarized or an order of a court of competent jurisdiction where the deceased has not left a Will. Copy of the Probate or Letter of Administration should be notarized (by affixing notorial stamp).	NA	NA	NA	✓
6. Letter of Surety Banker attestation should be required on letter of surety. Surety person should be other than legal heirs. Surety person should be same as surety part of indemnity.	NA	NA	✓	NA
7. Pan Copy If Pan details not updated in deceased a/c , then pan card copy of nominee & legal heir to be obtained.	✓	✓	✓	✓
8. Client Master List as per NSDL format (bearing NSDL logo) If the Nominee/ Legal Heirs / Joint holder is having a Demat account with another DP	✓	✓	✓	✓

<p>9. Transmission of securities held by KARTA</p> <p>a) True copy of death Certificate dully notarized by affixing notorial stamp.</p> <p>b) For transmission of securities to the account of the new Karta from the account of the deceased Karta, the surviving members through the new Karta shall make a joint application to the Participant in the Form specified in Annexure "O" >If the surviving members or the New Karta express their inability to produce the order of a competent Court and that the market value of securities lying to the credit of such account as on the date of application for transmission of securities does not exceed Rupees Ten Lakh then the participant shall process the transmission request on the basis of the following documents: documents:</p> <p>i. Request for transmission in Annexure "O", duly signed by the New Karta & affidavit in the prescribed form, duly notarized</p> <p>ii. A declaration of the list of surviving members of HUF (Non-Judicial stamp paper of Rs. 20/-) and it should be notarised with Notorial stamp</p> <p>iii. Letter of Indemnity in the prescribed form, from the surviving members. (deed of indemnity provided by each of the surviving members of the HUF indemnifying NSDL from and against all loses, liability, costs and expenses, including legal fees on a Non-Judicial stamp paper of Rs. 200/-). Target a/c should be also in HUF category.</p> <p>>Where there is an objection from any member of the HUF, transmission of securities held in the beneficial owner account should be effected only on the basis of a Decree by a competent Court or the Deed of Partition.</p> <p>i. The surviving members shall furnish certified copy of the Settlement Deed/ Deed of Partition / Decree of the relevant Court, duly stamped in case the HUF goes into separation/ partition for dealing with the securities lying in the beneficial owner account held by the deceased Karta,</p> <p>ii. Furnish details of the beneficial owner accounts of the individual members in order to have the securities distributed to their respective accounts.</p>
